

U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE

EXPRESS MAIL LABEL NO.: EV 725 078 212 US

October 3, 2005

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: 4200.P0123US

U.S. APPLICATION NO. (If known, see 37 CFR 1.5): Unknown

CONFIRMATION NO.: Unknown

INTERNATIONAL APPLICATION NO.: PCT/EP2004/001965

INTERNATIONAL FILING DATE: February 27, 2004

PRIORITY DATE CLAIMED: April 3, 2003

TITLE OF INVENTION: GEAR SYSTEM FOR DRIVE OF A MULTI-SHAFT EXTRUDER

APPLICANT(S) FOR DO/EO/US: Josef A. BLACH

---

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

JC20 Rec'd PCT/EP 03 OCT 2005

**Items 11 to 20 below concern document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.  
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.  
13. ☒ A preliminary amendment.  
14. ☐ An Application Data Sheet under 37 CFR 1.76.  
15. ☐ A substitute specification.  
16. ☐ A power of attorney and/or change of address letter.  
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.  
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).  
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  
20. ☒ Other items or information:  
    (a) Drawings (3 sheets)  
    (b) Copy of Title page of WO2004/087398 A2  
    (c) Copy of WO2004/087398 A3 with search Report  
    (d) Postcard

The following fees have been submitted:	Calculations	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic National Fee (37 CFR 1.492(a)) .....\$300.00	\$300.00	
22. <input checked="" type="checkbox"/> Examination Fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0.00 All other situations .....\$200.00	\$200.00	
23. <input checked="" type="checkbox"/> Search Fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) .....\$0.00 Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority .....\$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB .....\$400.00 All other situations .....\$500.00	\$400.00	
<b>TOTAL OF 21, 22 AND 23 =</b>	<b>\$900.00</b>	

U.S. APPLICATION NO. (if known, see 37 CFR 1.5): **10/551912**

INTERNATIONAL APPLICATION NO.: PCT/EP2004/001965

ATTORNEY'S DOCKET NUMBER: 4200.P0123U6

**JC20 Rec'd PCT/PTO 03 OCT 2005**

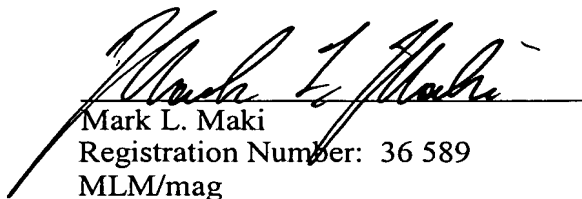
[ ] Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.					
Total sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100 =	/50 =		x \$250	\$	
Surcharge of <b>\$130.00</b> for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	7 - 20 =	0	x \$ 50.00	\$	
Ind. claims	1 - 3 =	0	x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360.00				\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$900.00</b>	
[X] Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. <b>SUBTOTAL =</b>				<b>\$450.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).				+	\$
<b>TOTAL NATIONAL FEE =</b>				<b>\$450.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				+	\$
<b>TOTAL FEES ENCLOSED =</b>				<b>\$450.00</b>	
				Amount to be refunded:	\$
				Amount to be charged:	\$

- a. [X] A check in the amount of \$450.00 to cover the above fees is enclosed.
- b. [ ] Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.
- NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.

IN DUPLICATE

**PLEASE DIRECT CORRESPONDENCE TO THE ADDRESS ASSOCIATED WITH THE FOLLOWING CUSTOMER NUMBER: 23474**

300.07/05

  
 Mark L. Maki  
 Registration Number: 36 589  
 MLM/mag

FLYNN, THIEL, BOUTELL & TANIS, P.C.  
 2026 Rambling Road  
 Kalamazoo, Michigan 49008-1631

Express Mail Label No.: EV 725 078 212 US **IC20 Rec'd PCT/PTO 03 OCT 2005**

Date of Mailing: October 3, 2005

Applicant(s): Josef A. BLACH

Title: GEAR SYSTEM FOR DRIVE OF A MULTI-SHAFT EXTRUDER

PCT International Application No.: PCT/EP2004/001965

PCT International Filing Date: February 27, 2004

U.S. Application No.

(if known, see 37 CFR 1.5): Unknown

Atty. Docket No.: 4200.P0123US

Mail Stop PCT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

EXPRESS MAILING CERTIFICATE

Sir:

I hereby certify that the attached paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

FLYNN, THIEL, BOUTELL & TANIS, P.C.

By: 

Date: October 3, 2005

Document(s) attached: Nationalized PCT Application  
Transmittal including enclosures listed thereon

Telephone: (269) 381-1156

391.05/05